

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Kurt Hoffmann	)	
	)	
Application No.: 10/522,690	)	Office of Petitions
	)	Patent and Trademark Office
Filed: August 9, 2005	)	
	)	
Title: PROTEIN CRYSTALLISATION	)	
METHOD	)	
	)	

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PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO  
RECEIVE OFFICE ACTION

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Firstly, pursuant to MPEP 711.03(c)(I)(A) and 37 CFR 1.181, Applicant hereby requests the withdrawal of the holding of abandonment of U.S. Patent Application 10/522,690 based on the failure to receive an Office Action. No fee is required. As shown below, all the requirements for the petition are provided herein. The detailed requirements for showing non-receipt of an Office Action as set forth in MPEP 711.03(c)(I)(A) are provided in Appendix 1. Appendix 2, Appendix 3, Appendix 4, and Appendix 5 show a copy of an envelope that appears to be stamped "RETURNED TO SENDER" and "NO SUCH ADDRESS," an informational sheet on the docket system, docket information for the instant application, and master docket showing all replies docketed for a period from February 11, 2008 to May 11, 2008, respectively.

Secondly, it is respectfully submitted that the Patent Office erroneously held this case to be abandoned because the issue fee was paid on June 5, 2008, about three months before the Patent Office sent a Supplemental Notice of Allowance. The fact that the Patent Office sent a

Supplemental Notice of Allowance after the issue fee was paid plainly shows that the case was not abandoned at the time the Supplemental Notice of Allowance was sent.

### FACTS

The Notice of Abandonment refers to Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance, which had a mailing date of February 11, 2008. However, the Notice of Allowance was never received at the correspondence address of record and a search of the law firm's docket indicates that the Notice of Allowance was never received from the post office.

Applicant was not aware of the Notice of Allowance mailed on February 11, 2008 until supplemental Notices of Allowance were mailed on May 12, 2008 and September 15, 2008 in response to a telephone call to the Examiner; both Notices of Allowance had mailing dates beyond the three month statutory period. Applicant promptly checked the Patent Application Information Retrieval (PAIR) system and retrieved the Notice of Allowance mailed on February 11, 2008, which had attached a copy of an envelope that appears to be stamped "RETURNED TO SENDER" and "NO SUCH ADDRESS." See attached Appendix 1. According to PAIR, the Notice of Allowance was returned to the USPTO on February 26, 2008. Upon such discovery, Applicant immediately contacted the Examiner and promptly paid the issue fee and publication fee on June 5, 2008.

RULE—MPEP 711.03 (c)(I)(A) requires:

(i) [A] statement from the practitioner describing the system used for recording an Office action received at the correspondence address of record with the USPTO.

(ii) [A] statement that the Office action was not received at the correspondence address of record, and that a search of the practitioner's record(s), including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received.

(iii) [A] copy of the master docket report showing all replies docketed for a date three months from the mail date of the non-received Office action must be submitted as documentary proof of non-receipt of the Office action.

PURSUANT TO MPEP RULES, THE REQUIRED INFORMATION IS HEREBY PROVIDED

(i) The system used for recording an Office action received at the correspondence address of record with the USPTO is described herein. Specifically, our docket system is a reliable system for deadline reminders. The system keeps track of information in an organized manner and yields comprehensive records for all applications, including the application number, attorney docket number, the mail date of the Office Action (See attached Appendix 4 under "Action Taken" for the Action "Office action rec'd), and the due date for the response (See attached Appendix 4 under "Action Taken" for the Action "Response due").

(ii) The Office action was not received at the correspondence address of record, and that a search of the practitioner's records, including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received. In fact, PAIR indicates that the non-received Notice of Allowance was returned to the USPTO by the sender, see attached PAIR record. A search of the docket system reflects the fact that the Notice of Allowance was never received from the post office. Specifically, docketing information is attached for the instant application (see attached Appendix 4) and an informational sheet showing what occurs when a Notice of Allowance is received (see attached Appendix 3). When a Notice of Allowance is

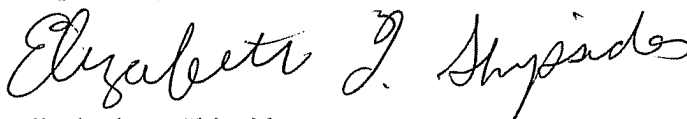
received, the docket system is updated by putting in the deadlines for the issue fee and the publication fee. As shown in the docket information for the instant application with identifying information on the coversheet, there are no issue fee and publication fee deadlines listed for this application during the relevant time periods.

(iii) A copy of the master docket report showing all replies docketed for a date three months from the mail date of the non-received Notice of Allowance is attached (see attached Appendix 5). As shown in the master docket, Office Actions were received, docketed, and updated as replies were filed in response to them, but the Notice of Allowance mailed February 11, 2008 was never received from the post office for this application.

Based on MPEP 711.03(c)(I)(A), the showing above is sufficient. Most emphatically, the circumstances clearly point to a conclusion that the Notice of Allowance was never received from the post office because the Notice of Allowance was returned to the USPTO on February 26, 2008 by the post office as shown in PAIR and our docket system reflects that fact.

Should any additional information be required, or should there be any other problems in the handling of the Petition, the undersigned patent attorney can be contacted by telephone to expedite those matters.

Respectfully submitted,

A handwritten signature in cursive script, reading "Elizabeth Q. Shipsides". The signature is written in dark ink and is positioned above the printed name and registration number.

Elizabeth Q. Shipsides  
Registration No. 57,529

Application No. 10/522,690

Customer No. 62274  
Dardi & Associates  
US Bank Plaza, Suite 2000  
220 South 6<sup>th</sup> Street  
Minneapolis, Minnesota 55402  
Telephone: (612) 746-3005

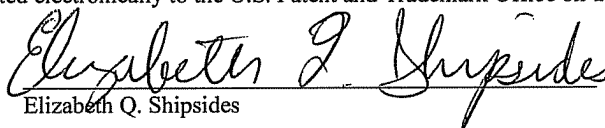
*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-3863.*

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this paper is being transmitted electronically to the U.S. Patent and Trademark Office on the date shown below.

October 6, 2008

Date

  
Elizabeth Q. Shippides

## Appendix 1

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I. The showing required to establish nonreceipt of an Office communication must include:

A. a statement from the practitioner describing the system used for recording an Office action received at the correspondence address of record with the USPTO.

1. The statement should establish that the docketing system is sufficiently reliable.

2. It is expected that the record would include, but not be limited to, the application number, attorney docket number, the mail date of the Office action and the due date for the response.

B. Practitioner must state that the Office action was not received at the correspondence address of record, and that a search of the practitioner's record(s), including any file jacket or the equivalent, and the application contents, indicates that the Office action was not received.

1. A copy of the record(s) used by the practitioner where the non-received Office action would have been entered had it been received is required.

2. A copy of the practitioner's record(s) required to show non-receipt of the Office action should include the master docket for the firm.

C. That is, if a three month period for reply was set in the nonreceived Office action, a copy of the master docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action must be submitted as documentary proof of nonreceipt of the Office action.

D. If no such master docket exists, the practitioner should so state and provide other evidence such as, but not limited to, the following: the application file jacket; incoming mail log; calendar; reminder system; or the individual docket record for the application in question.

II. The showing outlined above may not be sufficient if there are circumstances that point to a conclusion that the Office action may have been lost after receipt rather than a conclusion that the Office action was lost in the mail (e.g., if the practitioner has a history of not receiving Office actions).

III. Evidence of nonreceipt of an Office communication or action (e.g., Notice of Abandonment or an advisory action) other than that action to which reply was required to avoid abandonment would not warrant withdrawal of the holding of abandonment. Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment.

## Appendix 2





United States Patent and Trademark Office

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10/522,690

PROTEIN CRYSTALLISATION METHOD

Select New Case	Applications by Attorney Docket	Application Data	Transaction History	Image File Wrapper	Patent Term Adjustments	Continuity Data	Foreign Priority	Published Documents	Address & Attorney/Agent	Display References	Publication Review
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This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download and Print: Check the desired document(s) and click PDF.

## Available Documents

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Mail Room Date	Document Code	Document Description	Document Category	Page Count	PDF
09-25-2008	ABN	<a href="#">Abandonment</a>	PROSECUTION	1	<input type="checkbox"/>
09-15-2008	EX.A	<a href="#">Examiner's Amendment Communication</a>	PROSECUTION	2	<input type="checkbox"/>
09-15-2008	EX.A	<a href="#">Examiner's Amendment Communication</a>	PROSECUTION	2	<input type="checkbox"/>
06-05-2008	IFEE	<a href="#">Issue Fee Payment (PTO-85B)</a>	PROSECUTION	1	<input type="checkbox"/>
06-05-2008	LET.	<a href="#">Miscellaneous Incoming Letter</a>	PROSECUTION	8	<input type="checkbox"/>
05-12-2008	NOA	<a href="#">Notice of Allowance and Fees Due (PTOL-85)</a>	PROSECUTION	4	<input type="checkbox"/>
05-12-2008	EXIN	<a href="#">Examiner Interview Summary Record (PTOL - 413)</a>	PROSECUTION	2	<input type="checkbox"/>
05-12-2008	892	<a href="#">List of references cited by examiner</a>	PROSECUTION	1	<input type="checkbox"/>
02-26-2008	RETMAL	<a href="#">Mail returned to USPTO as undelivered</a>	PROSECUTION	9	<input type="checkbox"/>
02-11-2008	NOA	<a href="#">Notice of Allowance and Fees Due (PTOL-85)</a>	PROSECUTION	4	<input type="checkbox"/>
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02-11-2008	IIFW	<a href="#">Issue Information including classification, examiner, name, claim, renumbering, etc.</a>	PROSECUTION	1	<input type="checkbox"/>
02-11-2008	SRFW	<a href="#">Search Information including classification, databases and other search related notes</a>	PROSECUTION	1	<input type="checkbox"/>
02-11-2008	BIB	<a href="#">Bibliographic Data Sheet</a>	PROSECUTION	1	<input type="checkbox"/>
02-05-2008	A...	<a href="#">Amendment/Req. Reconsideration-After Non-Final Reject</a>	PROSECUTION	2	<input type="checkbox"/>
02-05-2008	CLM	<a href="#">Claims</a>	PROSECUTION	3	<input type="checkbox"/>
02-05-2008	REM	<a href="#">Applicant Arguments/Remarks Made in an Amendment</a>	PROSECUTION	1	<input type="checkbox"/>
02-05-2008	WFEE	<a href="#">Fee Worksheet (PTO-06)</a>	PROSECUTION	1	<input type="checkbox"/>
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01-17-2008	SRNT	<a href="#">Examiner's search strategy and results</a>	PROSECUTION	1	<input type="checkbox"/>
11-13-2007	ELC.	<a href="#">Response to Election / Restriction Filed</a>	PROSECUTION	1	<input type="checkbox"/>
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11-13-2007	REM	<a href="#">Applicant Arguments/Remarks Made in an Amendment</a>	PROSECUTION	2	<input type="checkbox"/>
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09-19-2007	CTRS	<a href="#">Requirement for Restriction/Election</a>	PROSECUTION	7	<input type="checkbox"/>
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09-19-2007	NPL	<a href="#">NPL Documents</a>	PRIOR ART	21	<input type="checkbox"/>
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08-06-2006	PA..	<a href="#">Power of Attorney</a>	PROSECUTION	3	<input type="checkbox"/>
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01-28-2005	TRNA	<a href="#">Transmittal of New Application</a>	PROSECUTION	3	<input type="checkbox"/>
01-28-2005	136A	<a href="#">Authorization for Extension of Time all replies</a>	PROSECUTION	3	<input type="checkbox"/>
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01-28-2005	FOR	<a href="#">Foreign Reference</a>	PRIOR ART	31	<input type="checkbox"/>
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01-28-2005	FRPR	<a href="#">Certified Copy of Foreign Priority Application</a>	PROSECUTION	36	<input type="checkbox"/>
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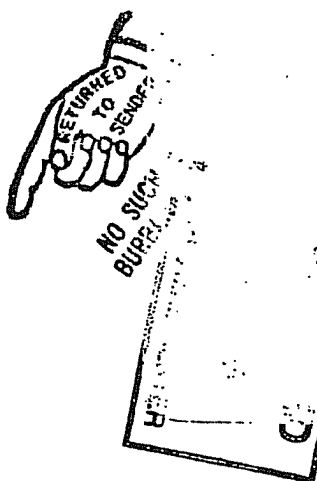
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## Appendix 3

Notice of Allowance and Fees Due	<ul style="list-style-type: none"> <li>•Note information on front of file</li> <li>•Remove previous deadline in docket</li> <li>•Check Notice of Allowance whether Pub. fee or new oath and/or drawings due</li> <li>•Check Notice of Allowance whether Statement re Interview Summary due</li> <li>•Issue Fee deadline (See date due on Notice)</li> <li>•Publication Fee deadline (See date due on Notice)</li> <li>•Check for drawings or oath due (See date due on Notice) (No extensions as of 11/7/00)</li> <li>•Attach Issue Fee Checklist</li> <li>•No PTA on reissue or design</li> <li>•Usually not docketed. Check to make sure there are no dates.</li> </ul>
Supplemental Notice of Allowance	
Postcard re Amendment filed After Allowance	<ul style="list-style-type: none"> <li>•Response re amendment received? (1 month from PTO date stamped on postcard)</li> </ul>
Payment of issue fee	<ul style="list-style-type: none"> <li>•Remove "Issue fee deadline/(and Pub. Deadline if appropriate)"</li> <li>•Issue Notification received? (2 months from PTO date stamped on postcard) or "Not docketed" if drawings not filed yet</li> </ul>
Filing of formal drawings	<ul style="list-style-type: none"> <li>•Remove "Drawings due"</li> <li>•Issue Notification received? (2 months from PTO date stamped on postcard) or "Not docketed" if issue fee/pub not paid yet</li> </ul>
Postcard re filing of corrected drawings after payment of issue fee and filing of required drawings	<ul style="list-style-type: none"> <li>•Issue Notification received? (2 months from PTO date stamped on postcard)</li> </ul>
Statement to Correct Patent Term Adjust	<ul style="list-style-type: none"> <li>•Resp. re Corrected PTA rec'd? (2 months from PTO date stamped on postcard)</li> </ul>
Issue Notification	<ul style="list-style-type: none"> <li>•<u>Design</u> - docket for Letters Patent received (1 month); File Continuation/Divisional? (date of issue); no maintenance fees due. Use "US-Letters Patent rec'd?"</li> <li>•File Continuation/Divisional? (date of issue)</li> <li>•Letters Patent received? (1 month from issue date)</li> <li>•Broadening reissue desired? (2 years from issue date)</li> <li>•Maintenance fees due (3 1/2, 7 1/2 and 11 1/2 years from issue date)</li> <li>•Enter patent term adjustment into docket system</li> <li>•<b>Reissue</b> - maintenance fee deadlines are calculated from parent issue date (Reissue expiration date is measured from the parent filing date)</li> <li>•Fill out Computer Patent Annuities (CPA) input sheet; make 2 copies of completed CPA input sheet; original is faxed to CPA; put 1 copy on top on right spindle in file; 1 copy for CPA PTS&amp;C file)</li> <li>•<b>Reissue</b> - fax copy of CPA input sheet from parent case with reissue patent number noted in red and docket number noted in red</li> <li>•<b>Plant</b> (PLT) - expires 20 years from filing - docket for Letters Patent received (1 month); File Continuation/Divisional? (date of issue); no maintenance fees due. Use "US-Letters Patent rec'd?"</li> <li>•Patent number, issue date and maintenance fee deadlines in computer and on file</li> </ul>

## Appendix 4

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## Country Application

Monday, September 29, 2008

Page: 1

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**Client Matter No.:** 3581-07

**Country:** US

**SubCase:** 01

**Client Name:** von Kreisler Selting Werner

United States of America

**Case Type:** PCT

**Application Status:** Published

**Application Number:** 10/522690

**Filing Date:** 09-Aug-2005

**Publication Number:** 2006/0111555

**Publication Date:** 25-May-2006

**Patent Number:**

**Issue Date:**

**Parent/PCT Number:** EP03/008369

**Parent/PCT Date :** 29-Jul-2003

**Parent Issue Number:**

**Parent Issue Date :**

**Tax Schedule:** SE

**Expiration Date:**

**Confirmation #:** 1767

**Patent Term Adjustment:** 0

**Owner:**

**Agent:**

**Agent Reference #:**

**App.Title:** PROTEIN CRYSTALLISATION METHOD

**Inventors:**

**Family Reference:**

Hoffmann, Kurt

**Remarks:** Also claims priority to EP 02017135.1 filed July 30, 2002; BE 2002/0509 filed September 1, 2002 and DE 10301342.3 filed January 16, 2003.

No assignment per client

**Abstract:**

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**List Of Actions**

<b>Action(s) Due</b>	<b>Due Date</b>	<b>Indicator</b>	<b>Action Taken</b>
Notice of Publication rec'd?	26-May-2006	Due Date	28-Jun-2006
RR-Response due	19-Oct-2007	Due Date	12-Nov-2007
RR-Response due 1 mo ext	19-Nov-2007	Due Date	12-Nov-2007
First office action rec'd?	27-Nov-2007	Due Date	19-Sep-2007
Response due	19-Dec-2007	Due Date	19-Sep-2007
RR-Response due 2 mo ext	19-Dec-2007	Due Date	12-Nov-2007
Response due 1 mo ext	19-Jan-2008	Due Date	19-Sep-2007
RR-Response due 3 mo ext	19-Jan-2008	Due Date	12-Nov-2007
Response due 2 mo ext	19-Feb-2008	Due Date	19-Sep-2007
RR-Response due 4 mo ext	19-Feb-2008	Due Date	12-Nov-2007
Response deadline 3 mo ext	19-Mar-2008	Final	19-Sep-2007
RR-Response deadline 5 mo ext	19-Mar-2008	Final	12-Nov-2007
Response Due	28-Mar-2008	Due Date	05-Feb-2007
US-2 Month OA (Non-Extendable)	28-Mar-2008	Final	31-Jan-2008
Response due 1 mo ext	28-Apr-2008	Due Date	05-Feb-2007
Office action rec'd?	12-May-2008	Due Date	31-Jan-2008
Response due 2 mo ext	28-May-2008	Due Date	05-Feb-2007
Response due 3 mo ext	28-Jun-2008	Due Date	05-Feb-2007
Response deadline 4 mo ext	28-Jul-2008	Final	05-Feb-2007



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## Country Application

Monday, September 29, 2008

Page: 3

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Issue Notification rec'd?	05-Aug-2008	Due Date	
Office action rec'd?	05-Aug-2008	Due Date	05-Jun-2008

**Created By:** shari

**User ID:** Terry

**Date Created:** 12-Jun-2006

**Last Update:** 15-May-2008

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# Appendix 5

Tuesday, September 30, 2008

Patent Status Report by Client

Page: 1

Client: 2947

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
2947-03/03 <i>Country:</i> United States of America <i>Title:</i> ORTHOSES FOR JOINT REHABILITATION	RCE	Published	10/819092	06-Apr-2004	Response due Response due 1 mo ext Response due 2 mo ext Response deadline 3 mo ext	03-Dec-2008 03-Jan-2009 03-Feb-2009 03-Mar-2009
<i>Country:</i> United States of America <i>Title:</i>	CON	Abandoned		20-Dec-2004		
2947-17/02 <i>Country:</i> United States of America <i>Title:</i> INSTRUMENTED IMPLANTABLE STENTS, VASCULAR GRAFTS AND OTHER MEDICAL DEVICES	PFP	Published	11/267386	04-Nov-2005	Office action rec'd?	17-Mar-2009

Tuesday, September 30, 2008

Client: 2950

# Patent Status Report by Client

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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
2950-01/02 <i>Country:</i> United States of America <i>Title:</i> ABRASIVE PARTICLES FOR SURFACE POLISHING	CON	Granted	09/841255 7258706	24-Apr-2001 21-Aug-2007	Broadening reissue desired? 3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	21-Aug-2009 21-Feb-2011 21-Feb-2015 21-Feb-2019
2950-09/02 <i>Country:</i> United States of America <i>Title:</i> COMBINATORIAL CHEMICAL SYNTHESIS	PPF	Granted	09/557696 7112449	25-Apr-2000 26-Sep-2006	3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	26-Mar-2010 26-Mar-2014 26-Mar-2018
2950-12/01 <i>Country:</i> United States of America <i>Title:</i> [REDACTED]	PRO	Pending	[REDACTED]	03-Jan-2008	Perfect provisional deadline Foreign filing deadline	03-Jan-2009 03-Jan-2009
2950-12/01 <i>Country:</i> United States of America <i>Title:</i> [REDACTED]	PRO	Pending	[REDACTED]	24-Dec-2007	Perfect provisional deadline Foreign filing deadline	24-Dec-2008 24-Dec-2008
2950-12/01 <i>Country:</i> United States of America <i>Title:</i> [REDACTED]	PRO	Pending	[REDACTED]	25-Jan-2008	Perfect provisional deadline Foreign filing deadline	25-Jan-2009 25-Jan-2009
2950-12/01 <i>Country:</i> United States of America <i>Title:</i> [REDACTED]	DIV	Abandoned	[REDACTED]	08-May-2000		
2950-14/02 <i>Country:</i> United States of America <i>Title:</i> COMPOSITE METAL OXIDE PARTICLES	CIP	Granted	09/203414 6136287	02-Dec-1998 24-Oct-2000	11.5 Maintenance fee deadline	24-Apr-2012
2950-15/03 <i>Country:</i> United States of America <i>Title:</i> MULTIPLE METAL OXIDE SUBMICRON PARTICLES	CON	Granted	10/655322 7323158	04-Sep-2003 29-Jan-2008	Broadening reissue desired? 3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	14-Jan-2010 14-Jul-2011 14-Jul-2015 14-Jul-2019

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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
<b>Country:</b> United States of America <b>Title:</b> [REDACTED]	PFP	Pending	[REDACTED]	17-Nov-2000	2 mo petition due Response/Appeal due Response/Appeal 1 mo ext Response/Appeal 2 mo ext Response/Appeal FINAL deadline	16-Nov-2008 16-Dec-2008 16-Jan-2009 16-Feb-2009 16-Mar-2009
<b>2950-22/04</b> <b>Country:</b> United States of America <b>Title:</b> POLYMER-INORGANIC PARTICLE COMPOSITES	DIV	Published	11/098306	04-Apr-2005	Office action rec'd?	12-Feb-2009
<b>2950-23/02</b> <b>Country:</b> United States of America <b>Title:</b> [REDACTED]	CIP	Abandoned	[REDACTED]	04-Nov-1999		
<b>2950-29/01</b> <b>Country:</b> United States of America <b>Title:</b> VANADIUM OXIDE NANOPARTICLES	ORD	Granted	08/897778 6106798	21-Jul-1997 22-Aug-2000	11.5 Maintenance fee deadline	22-Feb-2012
<b>2950-32/02</b> <b>Country:</b> United States of America <b>Title:</b> BATTERIES WITH ELECTROACTIVE NANOPARTICLES	CON	Granted	09/333099 6130007	15-Jun-1999 10-Oct-2000	11.5 Maintenance fee deadline	10-Apr-2012
<b>2950-35/02</b> <b>Country:</b> United States of America <b>Title:</b> SELF-ASSEMBLED STRUCTURES	DIV	Published	11/064706	24-Feb-2005	2 mo petition due Response/Appeal due Response/Appeal 1 mo ext Response/Appeal 2 mo ext Response/Appeal FINAL deadline	12-Nov-2008 12-Dec-2008 12-Jan-2009 12-Feb-2009 12-Mar-2009
<b>2950-68/02</b> <b>Country:</b> United States of America <b>Title:</b> IMPROVED MATERIAL PREPARATION APPROACHES FOR FUEL CELLS	PFP	Published	10/854931	27-May-2004	Response due Response due 1 mo ext Response due 2 mo ext Response deadline 3 mo ext	18-Oct-2008 18-Nov-2008 18-Dec-2008 18-Jan-2009

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Client Matter No./Sub Case	Case Type	Status Client Reference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
2950-350/01 Country: United States of America Title: TONERS, OTHER POLYMER-INORGANIC PARTICLE COMPOSITE PARTICLES AND CORRESPONDING PROCESSES	PRO	Converted	60/92489	27-Jun-2005		
2950-34/01 Country: United States of America Title: SPECIFIC MODIFICATION OF INORGANIC PARTICLES WITH A PLASMA TREATMENT	PRO	Converted	60/78707	03-Mar-2006		
2950-35/01 Country: United States of America Title: PHOTOGRAPHIC GENES STRUCTURES SOLAR PANELS AND CORRESPONDING PROCESSES	PRO	Converted	60/902006	16-Feb-2007		
2950-87/01 Country: United States of America Title: HIGHLY CRYSTALLINE NANOSCALE PHOSPHOR PARTICLES AND COMPOSITE MATERIALS INCORPORATING THE PARTICLES	PRO	Converted	60/78288	16-Mar-2006		
2950-87/02 Country: United States of America Title: HIGHLY CRYSTALLINE NANOSCALE PHOSPHOR PARTICLES AND COMPOSITE MATERIALS INCORPORATING THE PARTICLES	PFP	Published	11/717604	13-Mar-2007	Office action rec'd?	24-Jan-2009
2950-90/01 Country: United States of America Title: COMPOSITE PARTICLES OF INORGANIC PARTICLES AND POLYMER	ORD	Pending	60/905522	21-Sep-2007	Notice of Publication rec'd?	26-Mar-2009
2950-97/01 Country: United States of America Title: CONTINUOUS AND QUASI-CONTINUOUS PROCESSING OF INORGANIC PARTICLES	PRO	Closed	60/876951	22-Dec-2006		

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Client: 2950

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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
2950-98/01 Country: United States of America Title: FUNCTIONAL LINKS AND APPLICATIONS THEREOF	PRO	Abandoned	60/890,320	02-Feb-2007		
2950-99/01 Country: United States of America Title: FUNCTIONAL LINKS AND APPLICATIONS THEREOF	PRO	Converted	60/901,786	17-Feb-2007		

**Client: 2968**

# 1871-1872

# Patent Status Report by Client

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
2968-02/01 <i>Country:</i> United States of America <i>Title:</i> NANOPARTICLES FOR DELIVERY OF DRUGS	PRO	Converted	11/707627	21-Feb-2006		
2968-02/02 <i>Country:</i> United States of America <i>Title:</i> NANOPARTICLES FOR IMMUNOTHERAPY	PFP	Published	11/707627	19-Feb-2007	First office action rec'd?	19-Feb-2010



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Client: 3067

Demo Yang  
Demo Yang

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3067-02/01	ORD	Published	10/939302	10-Sep-2004	Office action rec'd?	17-Oct-2008

Country: United States of America

Title: COMPOSITIONS AND TREATMENTS USING EX VIVO ACTIVATED CELLS FOR MYELOSUPPRESSED PATIENTS

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## Patent Status Report by Client

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Client: 3126 Lumen Biomedical, Inc.

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3126-06/02 <i>Country:</i> United States of America <i>Title:</i> MEDICAL ARTICLES HAVING MECHANICALLY INTERLOCKED SEGMENTS	PPP	Published	11/157476	21-Jun-2005	Office action rec'd?	10-Mar-2009
3126-08/02 <i>Country:</i> United States of America <i>Title:</i> EXTENDABLE DEVICE ON AN ASPIRATION CATHETER	PCT	Published	11/791071	29-Jun-2007	First office action rec'd?	18-May-2011

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Client: 3132 Greatbatch, Inc.

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3132-06/01	ORD	Transferred	08/897778 6106798	21-Jul-1997 22-Aug-2000		

Country: United States of America  
Title: VANADIUM OXIDE NANOPARTICLES

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## Patent Status Report by Client

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Client: 3222 Dr. William R. Noyes

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number		App Date		All Actions	Due Dates
			Pat Number	Iss Date	Iss Date	App Date		
3222-01/02	RCE	Transferred	10/602526			24-Jun-2003		

Country: United States of America

Title: FILLERS AND METHODS FOR DISPLACING TISSUES TO IMPROVE RADIOLOGICAL OUTCOMES

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Client: 3223

**[REDACTED]**

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3223-01/01 <i>Country:</i> United States of America <i>Title:</i> SACROILIAC JOINT IMMOBILIZATION	PRO	Transferred	60/453261	10-Mar-2003		
3223-01/02 <i>Country:</i> United States of America <i>Title:</i> SACROILIAC JOINT IMMOBILIZATION	PFP	Transferred	10/797481	10-Mar-2004		
3223-05/01 <i>Country:</i> United States of America <i>Title:</i> BONE SCREWS AND PARTICULAR APPLICATIONS TO SACROILIAC JOINT FUSION	ORD	Transferred	11/879536	17-Jul-2007		
3223-06/01 <i>Country:</i> United States of America <i>Title:</i> <b>[REDACTED]</b>	ORD	Transferred	<b>[REDACTED]</b>	27-Feb-2008		

Client: 3275

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3275-05/03 <i>Country:</i> United States of America <i>Title:</i> OPTICAL MATERIALS AND OPTICAL DEVICES	DIV	Granted	10/977608 7306845	29-Oct-2004 11-Dec-2007	Broadening reissue desired? 3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	11-Dec-2009 11-Jun-2011 11-Jun-2015 11-Jun-2019
3275-05/04 <i>Country:</i> United States of America <i>Title:</i> OPTICAL MATERIALS AND OPTICAL DEVICES	DIV	Published	11/975613	19-Oct-2007	First office action rec'd?	19-Oct-2008
3275-05/05 <i>Country:</i> United States of America <i>Title:</i> OPTICAL MATERIALS AND OPTICAL DEVICES	DIV	Pending	11/975613	12-Apr-2004	Request oral hearing deadline Reply brief deadline Board//Appeal Decision rec'd?	17-Nov-2008 17-Nov-2008 17-Sep-2009
3275-20/02 <i>Country:</i> United States of America <i>Title:</i> PHOTODETECTOR COUPLED TO A PLANAR WAVEGUIDE	CON	Published	11/894843	22-Aug-2007	Response due Response due 1 mo ext Response due 2 mo ext Response deadline 3 mo ext	17-Dec-2008 17-Jan-2009 17-Feb-2009 17-Mar-2009
3275-28/02 <i>Country:</i> United States of America <i>Title:</i> GEBPSG TOP CLAD FOR A PLANAR LIGHTWAVE CIRCUIT	DIV	Granted	11/591085 7372121	01-Nov-2006 13-May-2008	Broadening reissue desired? 3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	13-May-2010 13-Nov-2011 13-Nov-2015 13-Nov-2019
3275-43/01 <i>Country:</i> United States of America <i>Title:</i> TUNING A NARROW BAND FILTER FOR TELECOMMUNICATION APPLICATIONS WITH AN ACOUSTIC OPTICAL TUNABLE FILTER	CIP	Granted	11/208109 7324265	20-Aug-2005 29-Jan-2008	Broadening reissue desired? 3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	29-Jan-2010 29-Jul-2011 29-Jul-2015 29-Jul-2019
3275-46/01 <i>Country:</i> United States of America <i>Title:</i> OPTICAL STEERING ELEMENT AND METHOD	CON	Published	11/807461	29-May-2007	Response/Appeal due Response/Appeal 1 mo ext Response/Appeal 2 mo ext Response/Appeal FINAL deadline	25-Oct-2008 25-Nov-2008 25-Dec-2008 25-Jan-2009
3275-46/02 <i>Country:</i> United States of America <i>Title:</i> OPTICAL STEERING ELEMENT AND METHOD	DIV	Published	11/807462	29-May-2007		

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## Patent Status Report by Client

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Client: 3281

[REDACTED]

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3281-03/05	CIP	Pending	[REDACTED]	31-Oct-2007	First office action rec'd?	31-Oct-2008

Country: United States of America

Title: [REDACTED]

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## Patent Status Report by Client

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Client: 3516

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3516-04/01 Country: United States of America Title: BIOCOMPATIBLE POLYMERS AND HYDROGELS AND METHODS OF USE	CON	Abandoned	10/57626	24-Feb-2003		
3516-04/05 Country: United States of America Title: BIOCOMPATIBLE CROSSLINKED POLYMERS	DIV	Published	11/985588	16-Nov-2007	First office action rec'd?	16-Nov-2008
3516-08/05 Country: United States of America Title: ADHESION BARRIERS APPLICABLE BY MINIMALLY INVASIVE SURGERY AND METHODS OF USE THEREOF	CIP	Granted	10/319308 7347850	13-Dec-2002 25-Mar-2008	Broadening reissue desired? 3.5 Maintenance fee deadline 7.5 Maintenance fee deadline 11.5 Maintenance fee deadline	25-Mar-2010 25-Sep-2011 25-Sep-2015 25-Sep-2019
3516-10/02 Country: United States of America Title: METHODS OF USING IN SITU HYDRATION OF HYDROGEL ARTICLES FOR SEALING OR AUGMENTATION OF TISSUE OR VESSELS	CON	Published	10/616055	09-Jul-2003	Response due Response due 1 mo ext Response due 2 mo ext Response deadline 3 mo ext	11-Nov-2008 11-Dec-2008 11-Jan-2009 11-Feb-2009
3516-10/01 Country: United States of America Title: PROTEIN CROSSLINKERS FOR CROSSLINKING METHODS AND APPLICATIONS THEREOF	PRO	Converted	60/797387	24-Apr-2006		
3516-18/02 Country: United States of America Title: PROTEIN CROSSLINKERS, CROSSLINKING METHODS AND APPLICATIONS THEREOF	PFP	Published	11/789459	24-Apr-2007	Office action rec'd?	28-Feb-2009
3516-24/01 Country: United States of America Title: METHODS FOR MAKING FREEZE DRIED HYDROGELS FOR MEDICAL APPLICATIONS	PFP	Converted	60/743944	29-Mar-2006		
3516-30/01 Country: United States of America Title: SURGICAL BARRIERS SYSTEM FOR MEDICAL SEALANTS	ORD	Pending	12/012606	04-Feb-2008	Notice of Publication rec'd? Foreign filing deadline First office action rec'd?	04-Feb-2009 04-Feb-2009 04-Feb-2009



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## Patent Status Report by Client

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Client: 3581

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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3581-03/02 <i>Country:</i> United States of America <i>Title:</i> PROCESSED HUMAN CHEMOKINES PHC-1 AND PHC-2	CIP	Abandoned	10/202986	24-Jul-2002		
3581-10/01 <i>Country:</i> United States of America <i>Title:</i> IMMUNOKINASES	PCT	Pending	10/586111		First office action rec'd?	22-Dec-2008
3581-07/01 <i>Country:</i> United States of America <i>Title:</i> A METHOD FOR THE PRODUCTION OF HYDROLYZED ALERGICEN	PRO	Closed 062079US	60/842485	06-Sep-2006		

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## Patent Status Report by Client

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Client: 3874

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
3874-01/01 Country: United States of America Title: DOCUMENT EXCHANGE, INTERACTION AND ARCHIVING CAPABILITY ENABLED BY THE INTERNET	PRO	Converted	60960222 Pat Number	18-Jan-2006		
3874-01/02 Country: United States of America Title: AUTOMATIC DOCUMENT EXCHANGE AND EXECUTION MANAGEMENT	PFP	Published	11/652371	11-Jan-2007	First office action rec'd?	11-Mar-2010
3874-02/01 Country: United States of America Title: AUTOMATIC DOCUMENT EXCHANGE WITH DOCUMENT SEARCHING CAPABILITY	PFP	Published	11/654390	17-Jan-2007	First office action rec'd?	22-Mar-2009
3874-03/01 Country: United States of America Title: AUTOMATIC DOCUMENT EXCHANGE WITH ARCHIVING CAPABILITY	PFP	Published	11/654389	17-Jan-2007	First office action rec'd?	22-Mar-2009

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Client: 3891

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
389101/01	PRO	Converted	60765959	07-Feb-2006		

Country: United States of America

Title:

INTELLECTUAL PROPERTY INFORMATION PROCESSING FOR CONSUMER TO SERVICE PROVIDER TRANSACTION

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## Patent Status Report by Client

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Client: 5002

Patenting

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5002-01/011 Country: United States of America Title: COMPUTER-BASED REVENUE RISK MANAGEMENT METHODS	PRO	Converted	60/814877	19-Jun-2006		

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## Patent Status Report by Client

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Client: 5003

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5003-03/01 Country: United States of America Title: NESTABLE CONTAINERS WITH FEEDING COVERINGS	PRO	Converted	60/741151	01-Dec-2005		
5003-05/01 Country: United States of America Title: COOKING THERMOMETER	PRO	Converted	60/858292	18-Aug-2006		
5003-08/01 Country: United States of America Title: IMPROVED THERMOMETER	PRO	Converted	60/841018	30-Aug-2006		
5003-08/02 Country: United States of America Title: IMPROVED THERMOMETER	PFP	Published	11/595722	09-Nov-2006	Office action rec'd?	27-Feb-2009

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Client: 5005

Envision Sheng, P.D.

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5005-01/01 Country: United States of America Title: HIGH-DRAW PRODUCTION OF POLYHYDROXYALKANOATES	PRO	Converted	60/299635	02-Mar-2001		
5005-01/02 Country: United States of America Title: PRODUCTION OF POLYHYDROXYALKANOATES	PFP	Published	10/090965	04-Mar-2002	Response due Response due 1 mo ext Response due 2 mo ext Response deadline 3 mo ext	03-Dec-2008 03-Jan-2009 03-Feb-2009 03-Mar-2009
5005-02/01 Country: United States of America Title: HIGH-DRAW PRODUCTION OF POLYHYDROXYALKANOATES	PRO	Converted	60/299639	31-May-2001		
5005-03/01 Country: United States of America Title: HIGH-DRAW PRODUCTION OF POLYHYDROXYALKANOATES	PRO	Converted	60/299639	20-Jun-2006		
5005-03/02 Country: United States of America Title: HIGH-DRAW PRODUCTION OF POLYHYDROXYALKANOATES	PFP	Abandoned	60/299639	19-Jun-2007		

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Client: 5008 KNH Patentanwalt

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5008-01/01	PCT	Published	10/519352	19-Aug-2005	Office action rec'd?	15-Feb-2009

**Country:** United States of America

**Title:** MEDICAMENT FOR THE TREATMENT OF DISEASES DUE TO INFECTION BY NEISSERIA MENINGITIDIS

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Client: 5018

Monetary Devices, Inc.

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5018-01/01 Country: United States of America Title: METHOD AND APPARATUS FOR AGGREGATING CARPULES FOR USE WITH AN ANESTHETIC PUMP	PRO	Transferred	60814286	19-Jun-2006		
5018-01/02 Country: United States of America Title: DRUG DELIVERY SYSTEM	PFP	Transferred	11/820208	18-Jun-2007		
5018-02/01 Country: United States of America Title: METHOD AND APPARATUS FOR AGGREGATING CARPULES FOR USE WITH AN ANESTHETIC PUMP	PRO	Transferred	60814286	05-Oct-2006		
5018-02/02 Country: United States of America Title: METHOD AND APPARATUS FOR AGGREGATING CARPULES FOR USE WITH AN ANESTHETIC PUMP	PFP	Transferred	11/973007	04-Oct-2007		



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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5019-01/01 Country: United States of America Title: [REDACTED]	PRO	Converted	[REDACTED] 5067463	25-Apr-2005		
5019-02/01 Country: United States of America Title: [REDACTED]	PRO	Pending	[REDACTED] 51011628	18-Jan-2008	Perfect provisional deadline Foreign filing deadline	18-Jan-2009 18-Jan-2009
5019-03/01 Country: United States of America Title: [REDACTED]	PRO	Pending	[REDACTED] 51066683	21-Feb-2008	Perfect provisional deadline Foreign filing deadline	21-Feb-2009 21-Feb-2009

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Account Name: [REDACTED]

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5020-01/01 Country: United States of America Title: [REDACTED]	PRO	Converted	6061072	20-Sep-2004		

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in Compass, Inc.

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5021-07-01	PRO	Pending	61706375A	06-Feb-2008	Perfect provisional deadline Foreign filing deadline	06-Feb-2009 06-Feb-2009

Country: United States of America

Title: GOVERNANCE/CONTROL SYSTEMS

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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5023-01/01	ORD	Pending	12/009756	22-Jan-2008	Foreign filing deadline First office action rec'd? Notice of Publication rec'd?	22-Jan-2009 22-Jan-2009 23-Jul-2009

Country: United States of America

Title: SURFACE COVER WITH SPINNING AND DRILL

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Client: 5031

Amishone Medical, Inc.

Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5031-01/01	PRO	Pending	67/065424	12-Feb-2008	Perfect provisional deadline Foreign filing deadline	12-Feb-2009 12-Feb-2009

Country: United States of America

Title: WASHCER STAPLER

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Client: 5035

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Client Matter No./SubCase	Case Type	Status ClientReference #	App Number Pat Number	App Date Iss Date	All Actions	Due Dates
5035-01/01 Country: United States of America Title: SACROILAC JOINT IMMOBILIZATION	PRO	Converted	60/458261	10-Mar-2003		
5035-01/02 Country: United States of America Title: SACROILAC JOINT IMMOBILIZATION	PFP	Published	10/797481	10-Mar-2004	Response due Response due 1 mo ext Response due 2 mo ext Response deadline 3 mo ext	19-Nov-2008 19-Dec-2008 19-Jan-2009 19-Feb-2009
5035-05/01 Country: United States of America Title: [REDACTED]	ORD	Pending	11/879536	17-Jul-2007	Notice of Publication rec'd?	22-Jan-2009
5035-05/01 Country: United States of America Title: [REDACTED]	ORD	Pending	12/072694	27-Feb-2008	Foreign filing deadline First office action rec'd? Notice of Publication rec'd?	27-Feb-2009 27-Feb-2009 27-Aug-2009